## SATO: Surface-Area Traversal Order for Ray Tracing

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### Two Ray Types in Ray Tracing

- Radiance ray
  - For primary visibility, reflection, refraction, etc.

- Shadow ray (occlusion ray)
  - For hard and soft shadows, ambient occlusion, etc.





#### Traversal of a Radiance Ray



- Need for the closest hit point
- Front-to-back traversal order is ideal

#### Traversal of a Shadow Ray



- Any hit point is possible
- Front-to-back traversal order may not be ideal

Surface-Area Heuristic [Goldsmith and Salmon 1987]

- Standard tree construction method
- Greedy SAH construction determines the optimal split position by using the following equation:



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$$C_V(p) \approx K_T + K_I \left( \frac{SA(V_L)}{SA(V)} T_L + \frac{SA(V_R)}{SA(V)} T_R \right) \qquad \text{SA (v) = surface area of V} \\ T_v = \text{ # of tris in V}$$

 Assumption: the probability of a node being pierced by rays is proportional to the node's surface area with finite random rays`

### Surface-Area Heuristic [Goldsmith and Salmon 1987]



#### State-of-the-Art Shadow-Ray Traversal Order

# RTSAH (Ray Termination Surface-Area Heuristic) [Ize and Hansen 2011]

Calculates the expected traversal cost of each child node (preprocessing)

$$C_{\text{node}} = Min(leftFirst, rightFirst)$$
(6)  

$$leftFirst = T_{\text{step}} + P_lC_l + (P_{jr} + P_{lr}V_l)(T_{\text{step}} + C_r) + P_eT_{\text{step}}$$
(3)  

$$rightFirst = T_{\text{step}} + P_rC_r + (P_{jl} + P_{lr}V_r)(T_{\text{step}} + C_l) + P_eT_{\text{step}}$$
(4)  

$$V_{\text{node}} = \begin{cases} P_{jl}V_l + P_{jr}V_r + P_{lr}V_lV_r + P_e & \text{if an inner node,} \\ 0 & \text{if nonempty leaf,} \\ 1 & \text{if empty leaf.} \end{cases}$$
(7)

- The cheaper child node is first visited in ray traversal
- Up to 2X speedup

### State-of-the-Art Shadow-Ray Traversal Order

- SRDH (Shadow Ray Distribution Heuristic) [Feltman et al. 2012]
  - Shadow-ray-specialized BVH construction method
  - Traversal results of a small representative set of rays are used for both BVH construction and traversal-order determination
  - Frequent occluders are located in upper-level nodes and are first visited
  - 22~56% less traversal steps than SAH-constructed trees

### State-of-the-Art Shadow-Ray Traversal Order

- Limitation of RTSAH and SRDH: preprocessing cost
  - RTSAH: ~51ms (fast approximate RTSAH), ~5.8s (RTSAH)
  - SRDH: ~20s
  - May not be suitable for dynamic scenes

• How can we quickly determine efficient traversal order?

#### Surface-Area Traversal Order

- Surface-area traversal order (SATO)
  To determine TO, simply use the surface area (SA)
- Two major goals
  - To minimize the traversal order (TO) calculation time
  - To quickly find a large occluder for shadow ray tracing
- Three sub-metrics of SATO
  - NodeSATO: uses each node's SA
  - PrimSATO: uses average or maximum SA of each primitive in a node
  - PrimNumTO: uses primitive numbers



- Front-to-back •  $NO \rightarrow N2 \rightarrow N4 \rightarrow T2 \rightarrow T3 \rightarrow N1 \rightarrow T0$
- NodeSATO and PrimSATO
  - N0 $\rightarrow$  N1 $\rightarrow$ T0
  - N1 is first visited instead of N2

#### Why does SATO work?

- Three assumptions will be introduced for
- : PrimSATO, NodeSATO in kd-trees, and NodeSATO in BVHs

• We will focus on NodeSATO, but the relationship between PrimSATO and NodeSATO is important to verify NodeSATO

#### First Assumption for PrimSATO

• Large primitives are usually located in the upper-level nodes in a tree, so intersecting with a large primitive first can result in early termination of a shadow ray.

- This assumption is based on the character of SAH-constructed trees
  - The SAH keep larger primitives near the root



# Second Assumption for NodeSATO/PrimNumTO in Kd-trees

- In SAH kd-trees, the child node with the larger SA has a higher probability of enclosing larger primitives
- In many cases, the SAH costs of each node (a) (b) are comparable
  - Larger SA ≈ less # of prims
  - $\rightarrow$  Possibility of large empty spaces or <u>large prims</u>



#### Third Assumption for NodeSATO in BVHs

- In BVHs, the child node with the larger SA has a higher probability of enclosing larger primitives
- The BV of a parent BVH node includes the BVs of its primitives
  Larger SA ≈ larger prims



#### PrimSATO

- Psuedo code in tree construction find the optimal split plane if (optimal split plane exists) make an inner node calculate the avg or max SA value of prims in each child node compare each child's avg or max SA value set isLeftCheaper of the node else
  - make a leaf node

#### NodeSATO

 Psuedo code in tree construction find the optimal split plane **if** (optimal split plane exists) make an inner node compare each child node's SA set isLeftCheaper of the node else make a leaf node

#### NodeSATO

- NodeSATO can be used with various BVH update methods
- Selective SATO update is possible with the tree-rotation algorithm [Kopta et al. 2012]
- Pseudo code in tree rotation
   if (a rotation can decrease the SAH cost)
   do a rotation
   compare the SAs of the rotated inner nodes
   set isLeftCheaper of the nodes

#### PrimNumTO

 Psuedo code in tree construction find the optimal split plane **if** (optimal split plane exists) make an inner node compare primitive numbers of each child node set isLeftCheaper of the node else make a leaf node

#### SATO Traversal

- Same as the RTSAH traversal
  refer to the predefined isLeftCheaper flag
- Psuedo code
  - if (a shadow ray intersects with an inner node)

front\_son = node.isLeftCheaper ? 0 : 1;

do an intersection test with node.child+front\_son

do an intersection test with node.child+1-front\_son

#### Experimental Setup

- Intel 3.4GHz Core i7 with 8GB RAM (w/ hyperthreading)
- Manta interactive ray tracer
- Traversal algorithm
  - 8x8 packetized BVH traversal [Wald et al. 2007]
  - Single-ray BVH traversal
  - Single-ray kd-tree traversal
- Traversal order
  - Front-to-back RTSAH
  - Random
     Approximate RTSAH
     Pr
- PrimSATO<sub>AVG</sub>
  - PrimSATO<sub>MAX</sub>
- NodeSATO
- PrimNumTO

#### Static Benchmark Scenes



- Mad science (80k tris)
- Carnival (446k tris)
- Bedroom (361k tris)
- Sponza (66k tris)
- Ship (4k tris)
- Shadow overlap (2M tris)

#### Results in Static Scenes

- Traversal order calculation time (w/ a single thread)
  - BVH: NodeSATO/PrimNumTO (~3ms), PrimSATO (~154ms)
  - Kd-tree: NodeSATO/PrimNumTO (~3ms), PrimSATO (~106ms)

Table 1: TO calculation time for a BVH and a KD	ree (unit: millisecond, lower is better). A single thread was used.
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	BVH					kd-tree					
	RTSAH	Approx RTSAH	PrimSATO AVG	PrimSATO MAX	NodeSATO	PrimNum	RTSAH	PrimSATO AVG	PrimSATO MAX	NodeSATO	PrimNum
Mad Science	28.8	0.9	2.3	2.1	<0.1	< 0.1	10.3	5.3	5.1	0.2	0.3
Carnival	185.3	5.4	19.6	18.5	0.6	0.2	39.9	25.8	23.1	1.4	1.6
Bedroom	135.7	3.7	12.0	10.9	0.4	0.1	53.2	33.7	32.1	0.8	1.2
Shadow Overlap	752.7	29.2	154.2	145.0	3.1	1.0	173.8	106.3	103.8	2.8	3.4
Sponza	18.4	0.7	1.8	1.6	< 0.1	< 0.1	9.0	2.9	2.2	0.3	0.3
Ship	1.3	< 0.1	< 0.1	< 0.1	<0.1	<0.1	0.7	0.2	0.2	<0.1	<0.1

#### Results in Static Scenes

Rendering performance improvements (in average)



- PrimSATO up to 8% higher speedup than RTSAH
- NodeSATO/PrimNumTO similar speedup compared to RTSAH

#### Results in Static Scenes

• Traversal-order similarity between NodeSATO and others



#### Dynamic Benchmark Setup

- BVH update algorithm
  - BV refitting [Wald et al. 2007] + tree rotation [Kopta et al. 2012]
- BVH traversal algorithm
  - 8x8 packetized BVH traversal [Wald et al. 2007]
- 1024x768 resolution
- Soft shadows (4 samples per shading point)







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#### Limitations

- SATO does not guarantee performance improvements
  - Only accelerate "occluded shadow" rays
  - Assume SAH-constructed trees (NodeSATO/PrimNumTO)
  - Equal scene primitive sizes  $\rightarrow$  no benefits

#### Conclusions and Future Work

- NodeSATO: very fast and simple traversal-order heuristic
  - Give traversal priority to the node with larger SA
  - Negligible overheads  $\rightarrow$  very suitable for dynamic scenes
  - Very simple implementation
  - Similar speedup in static scenes compared to RTSAH
- Future work: combination with other methods
  - Static SRDH-constructed tree + dynamic SATO update tree
  - SATO with lazy build [Djeu et al. 2011]

#### Questions?